**TRANSMITTAL FORM**COPY OF PAPERS  
ORIGINAL FILED

|   |    |                        |                 |
|---|----|------------------------|-----------------|
| <b>TRANSMITTAL FORM</b><br>COPY OF PAPERS<br>ORIGINAL FILED |    | Application Number     | 09/935,563      |
|   |    | Filing Date            | August 22, 2001 |
|   |    | First Named Inventor   | Jeff Farnsworth |
|   |    | Group Art Unit         | 1752            |
|   |    | Examiner Name          |                 |
| Total Number of Pages in This Submission                    | 15 | Attorney Docket Number | 42390P12085     |

**ENCLOSURES (check all that apply)**

|  |  |  |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div>Copies of 12 cited references; return postcard</div> |
| Remarks  |  |  |

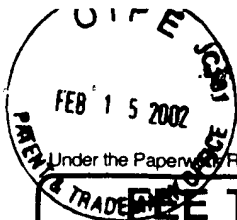
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |   |  |  |
|-------------------------|---|--|--|
| Firm or Individual name | William Thomas Babbitt, Reg. No. 39,591<br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN |  |  |
| Signature               | <i>William T Babbitt</i>  |  |  |
| Date                    | 1/29/02   |  |  |

**CERTIFICATE OF MAILING (OR TRANSMISSION)**

|  |                      |      |           |
|--|----------------------|------|-----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>January 29, 2002</u> |                      |      |           |
| Typed or printed name  | Nedy Calderon        |      |           |
| Signature  | <i>Nedy Calderon</i> | Date | 1/29/2002 |

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**FREE TRANSMITTAL**  
**for FY 2002**  
*Patent fees are subject to annual revision.*

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) **0.00**

**Complete if Known**

|                        |                         |
|------------------------|-------------------------|
| Application Number     | 09/935,563              |
| Filing Date            | 08/22/01                |
| First Named Inventor   | Jeff Farnsworth, et al. |
| Examiner Name          |                         |
| Group Art Unit         | 1752                    |
| Attorney Docket Number | 42390P12085             |

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**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☒ None

☒ Deposit Account

Deposit Account Number: **02-2666**

Deposit Account Name: **Blakely, Sokoloff, Taylor & Zafman**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account

**FEE CALCULATION**

**1. FILING FEE**

| Large Entity             |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------------------|----------|--------------|----------|------------------------|----------|
| Fee Code                 | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101                      | 740      | 201          | 370      | Utility filing fee     |          |
| 106                      | 330      | 206          | 165      | Design filing fee      |          |
| 107                      | 510      | 207          | 255      | Plant filing fee       |          |
| 108                      | 740      | 208          | 370      | Reissue filing fee     |          |
| 114                      | 160      | 214          | 80       | Provisional filing fee |          |
| <b>SUBTOTAL (1)</b> (\$) |          |              |          |                        |          |

**2. EXTRA CLAIM FEES**

| Total Claims              |  | Extra Claims |  | Fee from below |       | Fee Paid |        |
|---------------------------|--|--------------|--|----------------|-------|----------|--------|
|                           |  |              |  |                |       |          |        |
| Independent Claims        |  |              |  | X              | 18.00 | =        | \$0.00 |
| Multiple Dependent Claims |  |              |  | X              | 84.00 | =        | \$0.00 |

**Large Entity** **Small Entity**

| Fee Code                             | Fee (\$) | Fee Code | Fee (\$) | Fee Description   |
|--------------------------------------|----------|----------|----------|---|
| 103                                  | 18       | 203      | 9        |   |
| 102                                  | 84       | 202      | 42       | Independent claims in excess of 3                         |
| 104                                  | 280      | 204      | 140      | Multiple Dependent claim                                  |
| 109                                  | 84       | 209      | 42       | **Reissue independent claims over original patent         |
| 110                                  | 18       | 210      | 9        | **Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> (\$) <b>0.00</b> |          |          |          |   |

\*or number previously paid, if greater, For Reissues, see above

**FEE CALCULATION** (continued)

**3. ADDITIONAL FEE**

| Large Entity              |          | Small Entity |          | Fee Description  | Fee Paid |
|---------------------------|----------|--------------|----------|--|----------|
| Fee Code                  | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105                       | 130      | 205          | 65       | Surcharge - late filing fee or oath  |          |
| 127                       | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 139                       | 130      | 139          | 130      | Non-English specification  |          |
| 147                       | 2,520    | 147          | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                       | 920      | 112          | 920      | Requesting publication of SIR prior to Examiner action                     |          |
| 113                       | 1,840    | 113          | 1,840    | Requesting publication of SIR after Examiner action                        |          |
| 115                       | 110      | 215          | 55       | Extension for response within first month                                  |          |
| 116                       | 400      | 216          | 200      | Extension for response within second month                                 |          |
| 117                       | 920      | 217          | 460      | Extension for response within third month                                  |          |
| 118                       | 1,440    | 218          | 720      | Extension for response within fourth month                                 |          |
| 128                       | 1,960    | 228          | 980      | Extension for response within fifth month                                  |          |
| 119                       | 320      | 219          | 160      | Notice of Appeal   |          |
| 120                       | 320      | 220          | 160      | Filing a brief in support of an appeal                                     |          |
| 121                       | 280      | 221          | 140      | Request for oral hearing   |          |
| 138                       | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                       | 110      | 240          | 55       | Petition to revive - unavoidably   |          |
| 141                       | 1,280    | 241          | 640      | Petition to revive - unintentionally                                       |          |
| 142                       | 1,280    | 242          | 640      | Utility issue fee (or reissue)   |          |
| 143                       | 460      | 243          | 230      | Design issue fee   |          |
| 144                       | 620      | 244          | 310      | Plant issue fee  |          |
| 122                       | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123                       | 50       | 123          | 50       | Petitions related to provisional applications                              |          |
| 126                       | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                       | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 146                       | 740      | 246          | 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                       | 740      | 249          | 370      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179                       | 740      | 279          | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                       | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) _____ |          |              |          |  |          |
| <b>SUBTOTAL (3)</b> (\$)  |          |              |          |  |          |

\* Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

|                       |   |                                   |  |           |                |
|-----------------------|---|-----------------------------------|--|-----------|----------------|
| Typed or Printed Name | William Thomas Babbitt, Reg. No. 39,591 | Registration No. (Attorney/Agent) |  | Telephone | (310) 207-3800 |
| Signature             | <i>William T. Babbitt</i>               |                                   |  | Date      | 1/29/02        |

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